

Bucket Number: C553397.Div  
(AMD-C553397.Div)

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#12 Request  
e in an envelope  
tents and  
for RCE  
3-11-03  
L. Spruwell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Patent Application

hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail Postage and an Express Mail label, with the below serial number, addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Express Mail Label No.:	<b>EV1263019525US</b>	Name of Person Making the Deposit:	<b>ANTHONY CHOU</b>
Date of Deposit:	<b>2/19/03</b>	Signature of the Person Making the Deposit:	<i>Anthony Chou</i>

**In re Application of:   Liu and Wollesen**

Serial No.: 09/629,780

Examiner: **Crespo, M.D.P.**

Filed: 7/31/00

Art Unit: 2814

**For: A TRENCHED GATE NON-VOLATILE SEMICONDUCTOR METHOD WITH THE SOURCE/DRAIN REGIONS SPACED FROM THE TRENCH BY SIDEWALL DOPINGS**

### Box RCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL  
(SUBSECTION(B) OF 35 U.S.C. § 132)

~~This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 for the above-identified application.~~

1. ☒ Submission required under a filing under 37 C.F.R. § 1.114
- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/ reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_
2. Miscellaneous
- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months.  
(period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
- b. ☐ Other \_\_\_\_\_

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### Extension of Term

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension	Fee
<input type="checkbox"/> one month	\$110.00
<input type="checkbox"/> two months	\$400.00
<input type="checkbox"/> three months	\$920.00
<input type="checkbox"/> four months	\$1,440.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

### FEES DUE

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEES
Basic Application Fee					\$750.00
Total Claims	20	Minus Highest Prev. Paid	0	X \$18 =	\$0.00
Independent Claims	2	Minus Highest Prev. Paid	0	X \$84 =	\$0.00
If multiple dependent claims are presented, add \$260.00					\$0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$750.00</b>

### PAYMENT OF FEES

1. The full fee due in connection with this communication is provided as follows:
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.
- ☒ A check in the amount of \$ 750.00

cket Number: C553397.Div  
(AMD-C553397.Div)

[ ] Charge any fees required or credit any overpayments associated with this filing to Deposit  
Account No.: 23-0085.

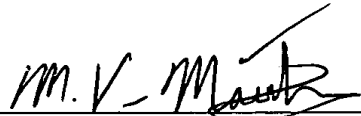
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Please direct all correspondence concerning the above-identified application to the  
following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: February 19, 2003

By:   
Mehlin Dean Matthews  
Reg. No. 46,127



APPID-C553397.DIV

Patent

*fee OK*

#13/

3-11-03

*L. Spawell*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Liu and Wollesen

Serial No.: 09/629,780

Filed: 07/31/00

For: A TRENCHED GATE NON-VOLATILE SEMICONDUCTOR DEVICE AND METHOD

Examiner: CRESPO, M.D.P.

Art Unit: 2814

AMENDMENT AND RESPONSE TO OFFICE ACTION

Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action mailed November 19, 2002, for the above captioned patent application, Applicants respectfully request entry of the following amendments and consideration of the following remarks.

IN THE CLAIMS

Please amend Claims 16 and 19 as shown below.

Please add new Claims 21-35 as shown below.

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